

Role of Gut Microbiota in Obesity, Type 2 Diabetes and Alzheimer's Disease

Muhammad I. Naseer^{1§}, Fehmida Bibi^{2§}, Mohammed H. Alqahtani¹, Adeel G. Chaudhary¹, Esam I. Azhar^{2,3}, Mohammad A. Kamal² and Muhammad Yasir^{*,2,§}

¹Center of Excellence in Genomic Medicine Research (CEGMR), King Abdulaziz University, Jeddah, 21589, Saudi Arabia

²Special Infectious Agents Unit, King Fahd Medical Research Center, King Abdulaziz University, Jeddah, 21589, Saudi Arabia

³Department of Medical Laboratory Technology, Faculty of Applied Medical Sciences, King Abdulaziz University, Jeddah, 21589, Saudi Arabia

Abstract: In recent years, there is a growing interest in research to investigate the importance of gut microbiome in health and diseases. This opens a new area of research for the role of microbial flora of the human gut in inflammation, energy homeostasis, pathogenesis of obesity and other associated disorders. Recent studies propose association of the gut microbiome with development of obesity and metabolic syndromes, such as type 2 diabetes mellitus (T2DM). The T2DM is a metabolic disease that is mainly caused by obesity-linked insulin resistance. The vascular effects of obesity appears to play a role in the development of Alzheimer's disease (AD) that is one of the rapidly growing diseases of a late stage of life all over the world. Studies from both humans and mice models have been demonstrated the engagement of gut microbial flora in the pathogenesis of obesity and host metabolism. The aim of this review is to discuss the current findings that may explain the cascade of gut microbial flora participation in the development of obesity, T2DM and further initiation of AD. In addition, the available data regarding the mechanisms that have been proposed to elucidate the role of gut microbiota in weight gain and possible cause of T2DM and AD have been examined.

Keywords: Gut microbiota, obesity, metabolic disease, type 2 diabetes mellitus, Alzheimer's disease.

INTRODUCTION

Microbes and human health linkage have been identified since the discoveries of Louis Pasteur, Robert Koch, Ilya Mechnikov and other eminent microbiologists of the early nineteenth century. However, the host-microbes interactions have been partially unraveled in several parts of the body particularly in the skin, mouth, and gut. Profiling of the gut microbiota from faecal samples by using next generation sequencing of genomic DNA and 16S rRNA gene analysis have shown that the human gut microbiome is a complex and diverse community of 100 trillion bacterial and archaeal cells comprised over 1,000 species [1]. The human microbiome consists of at least 10-fold more cells than exist in the human body [2]. In addition, the human microbiome expresses a large number of genes exceeding the human genome by a magnitude of 150 fold. All these observations support the astonishing concept that we are not 100% human, but a composite of 10% human and 90% microbes [2]. The microbial flora inhabits several parts of the human body such as the respiratory tract, genitourinary tract, surface of skin and mainly in gastrointestinal tract that consists of largest number of microorganisms [3]. The gut microbial flora is

important for human health because of nutrient processing, development of the immune system, colonization of antibiotic resistant microbes and stimulation of various other host activities that cannot be performed by our metabolic system alone [4-6]. Recent studies have also revealed the role of gut microbiota in energy homeostasis and fat storage [3, 6, 7]. In particular, recent evidence comes from enhanced production of short-chain fatty acid (SCFA) in a mouse model by *Methanobrevibacter smithii*. The strain is also a predominant archaean in the human gut and suggest that gut microbes may be directly contributing in the development of obesity through increasing the host's energy-harvesting efficiency [5-8].

Obesity is a cause of metabolic diseases such as T2DM, fatty liver, cardiovascular diseases and cancer [5]. T2DM is one of the most common severe metabolic disease that is mainly caused by obesity-linked insulin resistance. In spite of different triggering events, low-grade inflammation with enhance production of inflammatory molecules such as interleukins and tumor necrosis factor alpha (TNF- α) are now considered the pathological hallmarks of obesity and diabetes [9]. Findings from the recent reports based on metagenomic techniques such as 16S rRNA gene sequencing, fluorescent in situ hybridization and quantitative real time PCR have shown an association of the gut microbiota composition with obesity and diabetes [10, 11]. It has been reported that gut microorganisms increase monosaccharide absorption from the intestinal tract and

*Address correspondence to this author at the King Fahd Medical Research Center, King Abdulaziz University, Jeddah, 21589, Saudi Arabia; Tel: +96626401000/25303; Fax: +96626952076; E-mail: yasirphr@yahoo.com

§These authors made equal contribution to the writing of manuscript.

instruct the host to enhance hepatic production of triglycerides. This phenomenon is associated with the development of insulin resistance [11, 12]. However, several other factors may also contribute in the development of diabetes such as genetic predisposition, mental stress and infection [12-15].

Obesity is now considered a primary risk factor for development of T2DM and in turn T2DM is a risk factor for the pathogenesis of AD [16, 17]. The adverse effects of obesity on the cardiovascular system have been well studied and suggest that vascular effects of obesity also play a role in the development of AD [18]. Researchers are interested to understand the shared molecular mechanisms in these link metabolic diseases with the intention to lower the risk and severity of AD by treating T2DM. Recent progress in this area of research provides strong support to several mechanisms that could explain the association of these two diseases. Herein, the role of gut microbiome in obesity and associated T2DM linking the continuum of adiposity and T2DM with AD is reviewed. Targeting the association of metabolic diseases may present a unique opportunity for prevention and treatment of AD.

COMPOSITION OF THE HUMAN GUT MICROBIOTA

Gut microbiota of the human is mainly composed of bacteria, archaea, viruses, and some unicellular eukaryotes. This type of flora is associated with every multicellular organism on earth. Microbiota of the human digestive tract comprises of complex and heterogeneous community of over 1,000 species, which reach the concentrations ranging from 10^7 to 10^{12} cells/g intestinal content, from the small intestine to colon based on metagenomic analysis. The “comprehensive determination of human microbiome composition and the association with host health and disease is one of the key challenges in the 21st century” [19]. Many of the gut microbes stay with their hosts in symbiotic relationships and act together in many physiological processes including immune network activities of the host. However, distribution of the microbial community composition throughout the gastrointestinal tract is not homogenous due to peristalsis and secretions of gastric, bile and pancreatic juice. Diversity of the human gut microbiome is comparatively simple in infants, but becomes more heterogeneous and complex in adults [20]. Lifestyle, diet and environmental factors can also affect the composition and diversity of gut microbial community [21-24]. Taxonomic analysis of 16S rRNA gene sequences have shown that community composition of the gut microbiota is host-specific [21, 25] and relatively stable over time [25, 26]. However, the exact composition of gut microbiota is not fully known due to several limitations of culturing microorganisms from intestinal samples in the laboratory conditions. The recent advances in metagenomic technologies have begun to explore the previously uncultured microbial flora of the human gut [1]. Bacterial flora of the human gut is composed of more than 90% of the species belonging to the phylums *Firmicutes* and *Bacteroidetes*, followed by *Actinobacteria* and *Proteobacteria* [1, 27, 28]. The overall composition of gut microbiota is variable from person to person, but a set of species is conserved and shared between population and may

be obligatory for the certain critical functioning of the intestinal tract [1, 29].

INFLUENCE OF GUT MICROBIOTA ON OBESITY DEVELOPMENT

Based on the latest statistics from the World Health Organization, 300 million adults are obese and more than 1 billion are overweight worldwide [30]. Obesity has become a global problem and gut microbiota plays an important role in the pathogenesis of this disease [3]. The exact molecular mechanism that associate gut microbiota with obesity is mainly unclear. However, it has been reported that gut microbiota could contribute to obesity development by influencing different factors such as host genome, inflammation, increased lipoprotein lipase (LPL) activity, lipogenesis, extra calories, increased intestinal permeability, food intake and energy expenditure [3]. Recent report suggests that specific bacterial taxa of the gut microbiota are involved in nutrient uptake and energy homeostasis. While, a lipopolysaccharide (LPS) of bacterial origin derived from gut inhabited microbes may act as a triggering factor and connecting inflammation to the fat-rich diet induced obesity [31].

Previously from Gordon's lab, it was reported that weight status is important in defining gut microbiota composition at the phylum level [23, 24, 29]. The association of high abundance of species from phylum *Firmicutes* and low abundance of *Bacteroidetes* with obesity is concluded by different studies based on animal models and as well as on the human subjects [24, 29]. Animal studies have also shown that gut microbiota composition can be modulated by the host genome. In the genetically obese mice model with mutation in leptin gene contains more species from the phylum *Firmicutes* and fewer *Bacteroidetes* species compared to their lean wild-type mice, even when the mice are fed with the same type of diet [23]. Similar changes in the bacterial species belonged to these groups have also been reported in the gut microbiota of obese humans [24]. The interaction between environment, diet and gut microbes plays an important role in weight gain. It is evident from previous literature that rats susceptible to weight gain have shown ileal inflammation, decreased LPS activity, and increased innate immune system activation as compared to the obesity resistant rats [32]. In both obesity-prone and obesity-resistant rats on the fat-rich diet, there is a decrease of bacterial density whereas Enterobacteriales increased in the obesity-prone rats. Furthermore, an immunoprotein (CD14) which is required to cause an inflammatory reaction to LPS when knockout from mice they become resistant to weight gain [33]. Together the data indicate that rats naturally susceptible to weight gain on a fat-rich diet develop intestinal inflammation. Inflammation in normal rats can cause weight gain, and the absence of inflammation protects rats against weight gain from fat-rich diet [3]. The population of *Bacteroidetes* species increases when weight is reduced, either by carbohydrate or fat-restricted diets, indicates that *Bacteroidetes* are probably active in calories intake [24]. Undigested carbohydrates can be converted into SCFA by the gut microbiota. Higher production of SCFA is directly related to the increased capacity of the ob/ob rate microbiome to harvest dietary energy. In the animal models,

when SCFA are oxidized by the host they can provide additional calories that promotes more fat and weight gain [34].

The microbial flora of intestinal tract is obligatory for digestion of dietary polysaccharides that affects energy harvest from the diet and energy storage in the host [35]. LPL is a key enzyme involved in the release of fatty acids from triglyceride-rich lipoproteins in muscle, heart, and fat. Upregulation of adipocyte LPL activity leads to enhance cellular uptake of fatty acids and adipocyte triglyceride accumulation [35]. Gut microbiota could affect the activity of LPL by influencing the expression of fasting-induced adipocyte factor protein that is over expressed in the germ-free mice and reduce storage of triglycerides in the adipose tissue [35]. Fasting-induced adipose factor (FIAF) is a key inhibitor of LPL activity and plays an important role in preventing obesity. In germ-free mice expression of FIAF is high while inhibition of FIAF expression increases the activity of LPL and storage of triglycerides in adipocytes in the presence of microbiota [35]. Therefore, suppression of intestinal fasting-induced adipocyte factor by microbes promotes adiposity through upregulation of LPL activity in adipocytes and increased hepatic lipogenesis finally enhance accumulation of calories harvested from the diet into fat for storage. This suggests that changes in the intestinal flora composition could be responsible for the development of obesity and diabetes. Therefore, gut microbiota is a key factor in defining host metabolism and energy harvest. Transformation of the gut microbiota either by antibiotics or prebiotics improves metabolic and inflammatory properties, suggesting the importance of gut microbiota in metabolic diseases. For example, modulation of the gut microbial community of obese mice with antibiotics treatment improves glucose metabolism by reducing adiposity and inflammation [11, 36, 37] hence suggesting the involvement of gut microbiota in metabolic and inflammatory diseases.

OBESITY LINKED INFLAMMATION AND DIABETES

Currently, the incidence rate of metabolic disorders is considered a major health issue globally. The pathophysiological mechanisms responsible to develop metabolic disorders in response to obesity are not fully understood. However, in obesity, the over expression of proinflammatory cytokines is evident that develop a status of chronic inflammation. The persistent low-grade inflammation is most probably responsible for the development of obesity related metabolic and inflammatory diseases, such as T2DM [38]. It is also thought that overfeeding is the starting signal of inflammation and studies from both human and mice suggest that adipocytes may acutely evoke the inflammatory pathway in response to this insult [39, 40].

Liver and adipose tissue of the obese individuals compare to lean control exhibit an increased activation of three kinases (c-Jun N-terminal kinase, the inhibitor of the kappa kinase and the protein kinase RNA-activated) that further induce the expression of inflammatory cytokines [41, 42]. In the same metabolic tissues, Toll like receptors and inflammasome of the innate immune system are also activated [43, 44]. In obesity, inflammation results in the

inhibition of insulin receptor signaling pathway *via* three kinases described above [45-47]. In patients of T2DM, the expression of pancreatic interleukin-1-receptor antagonist is reduced and interleukin-1 production in Beta cells (β - cells) is induced by high glucose concentrations cause defective insulin secretion, lower cell proliferation and apoptosis. In obese humans, increase circulating level of TNF- α is associated with the evolution of insulin resistance, T2DM, and further associated complications. Therefore, TNF- α is demonstrated to be a link between adiposity and insulin resistance [48].

Recent microbial studies based on 16S rRNA gene sequencing, quantitative real time PCR and fluorescent in situ hybridization have shown a relationship between intestinal microbiota composition and metabolic diseases like obesity and diabetes. For example, *Bifidobacterium* spp. significantly increases in prebiotic treated-mice and correlated with low-grade inflammation and improved glucose-tolerance [36, 49]. Similarly, the development of obesity and diabetes are strongly affected by a fat-enriched diet, which is widely used to induce metabolic diseases. Cani *et al.* [33] proposed that high level of LPS is associated with the development of both obesity and T2DM. Therefore, LPS has the capacity to interfere with both metabolism and the immune system of the host. These observations open a new insight into the role of gut microbiota-derived products and metabolism. Accordingly, it is increasingly documented that the metabolic pathways and the innate immune system are functionally intertwined [50]. Several studies have shown that gut bacteria through modulation of plasma LPS levels can activate the inflammatory processes associated with obesity and insulin resistance [33]. Cani *et al.* [33] have also demonstrated that chronic subcutaneous infusion of LPS significantly produced chronic metabolic endotoxaemia that is able to initiate inflammation and insulin resistance. A high-fat diet has been found to modulate the gut microbial community with a marked reduction in different bacterial groups such as *Bifidobacterium* sp., *Eubacterium rectal*, *Clostridium coccoides* group and *Bacteroides*-related bacteria [33, 51]. Larsen *et al.* [10], recently characterized gut microbiome in the T2DM patients. The significant reduction in phylum *Firmicutes* and class *Clostridia* was observed in the diabetic group compared to the control subjects [10]. The triggering role of bacterial LPS derived from gut microbes to develop low-grade chronic inflammation, insulin resistance and T2DM was subsequently studied in both genetically and nutritionally obese mice through specific transition of the gut microbiota composition [11, 36]. Changing the gut microbiota of host by antibiotic treatment protects against diet-induced adiposity, oxidative stress, glucose intolerance, insulin resistance and low-grade inflammation [11, 36].

POTENTIAL MECHANISMS LINKING GUT MICROBIOTA TO OBESITY: INITIATION OF DIABETES AND ALZHEIMER'S DISEASE

It is now well established that gut microbiota can influence the central nervous system leading to modulation of brain function and consequently mood and behavior [52, 53]. Recent studies using germ-free animals exposed to antibiotic, pathogenic or probiotic bacteria have shown that

the post-natal brain development can be influenced by the composition of gut microbiota [54]. It also plays an important role in changing behavior (e.g. anxiety and cognition) and pain responses [52, 53]. Interestingly, gut microbiota of and mice was found to influence the activity of hypothalamic-pituitary-adrenal axis, which is important for stress responses in later stages of life [54]. There are significant number of evidences to support close interaction between the gut microbiota and immune system. The gut microbiota actively contributes in the development of immune system and interacts with immune cells in the gut to enhance their survival and tolerance. On the other hand, immune cells prevent proliferation of gut microbes into the intestinal epithelia [55]. It can be postulated that gut microbiota has an influence on central nervous system functions *via* immune cells. Cytokines released by immune cells (e.g. microglia and T cells) have crucial roles both in the neuronal plasticity and development of brain [56-58]. Gut microbes may be involved in a number of psychiatric and neurological disorders through their influence on the hypothalamic-pituitary-adrenal axis and interaction with immune system. Recently it was found that germ-free mice were significantly resistant to autoimmune encephalomyelitis in an animal model of multiple sclerosis [59].

Obesity is a potential risk factor for the development of T2DM, hypertension, dyslipidemia, and cardiovascular diseases and in turn diabetes mellitus increases the risk of dementia (vascular and/or neurodegenerative). It has been estimated that T2DM or impairment of glucose metabolism might be present in up to 80% of AD patients [60]. AD is the most common neurodegenerative disease in the elderly population affecting 5% of adults older than 65 years [61]. Neuropathology of AD is characterized by several features including oxidative stress, amyloid deposition, tau phosphorylation, inflammatory processes and extracellular deposition of amyloid beta peptide containing plaques in the cerebral cortex. The presence of neurofibrillary tangles, depletion of mature basal forebrain cholinergic neurons, reductions in cholinergic markers and failure of neuronal cell cycle leading to apoptosis are also prominent features of AD [62-64]. Moreover, in the brain of AD patients many cytotoxic signals especially oxidative stress, inflammation, and iron accumulation can trigger the apoptosis of neuronal cells [65-67].

Previously it was reported that patients with AD are more susceptible to T2DM. In these two diseases, there is possibility of linkage between the process and shared mechanism responsible for the loss of brain cells and β -cells [68]. The islet of Langerhans in T2DM is characterized by the loss of β -cell [69, 70] and islet amyloid polypeptide [71, 72]. This protein is secreted by β -cells and co-expressed with insulin. In AD, brain dysfunction is characterized by loss of neocortical neurons [73] and focal amyloid deposits [74-78]. In several studies, it has been well demonstrated that T2DM increases the risk of developing AD [79-81]. Moreover, up to 80% AD patients have T2DM [82]. Further the roles of T2DM, peripheral insulin resistance, and hyperinsulinemia in relation to cognitive impairment and its progression to AD exploding with new information about the mechanisms of brain insulin resistance, cognitive impairment and neurodegeneration [83-90]. Studies are providing the solid

evidence that tau expression and phosphorylation are regulated by insulin and insulin growth factor signaling cascades. The critical aspects of AD can be explained on the basis of impaired insulin signaling [83, 91].

The AD and T2DM share several anomalies such as impaired glucose metabolism and insulin signaling, low grade chronic inflammation and oxidative stress, as well as insulin resistance [92]. Genetic components of the host also involves in development of both AD and T2DM. Incidence rate of these diseases increases with age [93-96]. The patients of T2DM have defective learning and memory functions. The phenomena of hyperglycemia impair cognitive performance is consistent in humans and animals [80, 81, 97, 98]. Generally believed that gut microbiota control obesity that is the cause of T2DM, which consequently causes AD (Fig. 1). Recent studies have also found the role of gut microbiota in the control of brain function directly by tryptophan metabolism, production of microbial metabolites, microbial neurotransmitters and bacterial cell wall sugars and bile acids [52, 99, 100].

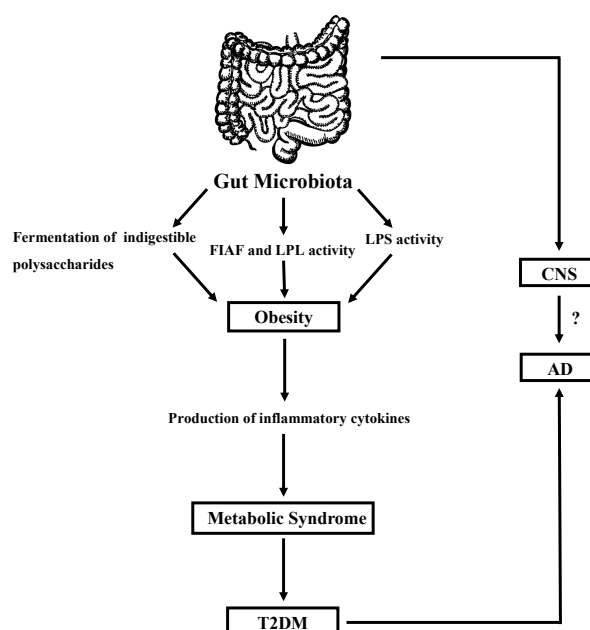


Fig. (1). Mechanism by which intestinal microbiota may contribute to obesity and further development of T2DM and AD. FIAF = fasting-induced adipocyte factor; LPL = lipoprotein lipase; LPS = lipopolysaccharide; T2DM= type 2 diabetes mellitus; AD= Alzheimer's disease; CNS= central nervous system.

Bile acids are steroid acids and synthesized in hepatocytes. Bile acids initially conjugated to an amino acid (glycine or taurine) before the secretion into the gastrointestinal tract [99]. Bile acids inhibit the overgrowth and proliferation of gut's microbes. Bacterial enzymes contribute in transforming primary bile acids to produce secondary bile acids. The secondary bile acids are reabsorbed and returned to the hepatic cells of liver for further processing [99]. Bile acids are also involved in binding and activation of different cellular receptors, such as nuclear receptor farnesoid X receptor and the G protein-coupled receptor TGR5 [100]. Both these receptors play an important role in the modulation of lipid and glucose metabolism in mice. TGR5 signaling in enteroendocrine L-

cells induce secretion of glucagon-like peptide 1, thus enhancing glucose tolerance and improving liver and pancreatic function in obese mice [101]. Therefore, the gut microbiota contributes to the level of obesity and T2DM by controlling lipid and glucose metabolism through bile-acid mediated signaling of these receptors [102]. It can be concluded that bile acids play an essential role in complex signaling and in immune modulation [103].

In addition, the endogenous bile acid, tauroursodeoxycholic acid (TUDCA) is a potent neuroprotective agent and acts as a mitochondrial stabilizer and anti-apoptotic agent in several models of neurodegenerative diseases [104]. TUDCA has been shown to be neuroprotective in several models of AD, including amyloid precursor protein and presenilin 1 double-transgenic mice [102]. In Huntington's disease, increased level of TUDCA was also found to be neuroprotective, but still little is known about the role of bile acids in neurodegenerative disease [103]. However, it has been reported that TUDCA is playing a fundamental role to modulate the process of p53-mediated apoptosis in AD [103]. The bile acids, especially glycocholate, glycodeoxycholate and glycochenodeoxycholate have been shown to be altered in plasma profile of AD patients [103]. It has also become evident that microbiota, especially within the gut can greatly influence all aspects of physiology, including obesity, T2DM, gut-brain communication and even behaviour [53].

CONCLUSION

It is evident that gut microbiota maneuver host metabolism and play pivotal role in the pathogenesis of obesity. Several mechanisms that explain the link between gut microbiota and obesity have been proposed especially in animal models. During the past years, a growing body of experimental data and clinical observations support the importance of gut microbiota in the development of obesity and obesity linked metabolic and neurological disorders. The host energy balance and adiposity can be modulated by gut microbiota through different mechanisms e.g., increase energy uptake from the diet, modulation of tissue fatty acid composition, regulation of bile acids and other gut-derived peptide secretion. The role of gut microbiota in sugar and lipid metabolism and in development of LPS induced low grade inflammation in obesity and obesity related T2DM disorder is increasingly recognized. Obesity is considered as a triggering factor for T2DM and in turn T2DM is considered a risk factor for development of AD. Accumulating evidences indicate the importance of gut microbiota as a target for treating metabolic diseases and neurological diseases. However, there is a need of further work to elucidate the possible relationship of gut microbiota with obesity and the mechanisms linking obesity with T2D and AD.

FUTURE PERSPECTIVES

To prevent diseases associated with obesity, use of prebiotics as a supplement diet can be developed as a therapy that stimulates the growth of specific microbes to improve metabolic activity. Future research should focus on delineating the relative contributions of immune, neural and endocrine pathways through which the gut microbiota communicates with the brain to understand the possible link of gut microbiota with AD. A better understanding of these pathways will improve our

knowledge about the role of gut microbiota play in a range of neurological disorders, including neuropsychiatric diseases such as pain, depression, anxiety, autism as well as AD. Researchers should focus on gut microbiota for treating metabolic diseases and neurological diseases. Further work is also needed to deeply understand the mechanism that how different bacterial groups can differentially affect central nervous system functioning.

LIST OF ABBREVIATIONS

β -cells	=	Beta cells
FIAF	=	Fasting-induced adipose factor
AD	=	Alzheimer's disease
LPL	=	Lipoprotein lipase
LPS	=	Lipopolysaccharide
SCFA	=	Short-chain fatty acid
T2DM	=	Type 2 diabetes mellitus
TNF- α	=	Tumor necrosis factor alpha
TUDCA	=	Tauroursodeoxycholic acid

CONFLICT OF INTEREST

There is no conflict of interest regarding this article.

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